49 CFR Ch. II (10-1-11 Edition)

Pt. 227, App. F

records although it is not an "STS". When the next annual audiogram using insert earphones shows an average threshold shift at 2000, 3000 and 4000 Hz of 3 dB, completing the full shift (7 dB + 3 dB), employers must then label that average shift as an STS. This triggers the follow-up procedures at §227.109(h).

VII. RECORDS

All audiograms (including both those produced through the use of insert earphones and supra-aural headsets), calculations, pure-tone individual and average threshold shifts, full STS migrations, and audiometric acoustical calibration records, are to be preserved as records and maintained according to § 227.121(c).

[71 FR 63123, Oct. 27, 2006, as amended at 74 FR 25173, May 27, 2009]

APPENDIX F TO PART 227—CALCULA-TIONS AND APPLICATION OF AGE COR-RECTIONS TO AUDIOGRAMS

This appendix is non-mandatory.

In determining whether a standard threshold shift (STS) has occurred, allowance may be made for the contribution of aging to the change in hearing level by adjusting the

most recent audiogram. If the employer chooses to adjust the audiogram, the employer shall follow the procedure described below. This procedure and the age correction tables were developed by the National Institute for Occupational Safety and Health in a criteria document. See "Criteria for a Recommended Standard: Occupational Exposure to Noise," Department of Health and Human Services (NIOSH) Publication No. 98–126. For each audiometric test frequency:

- I. Determine from Tables F-1 or F-2 the age correction values for the employee by:
- A. Finding the age at which the most recent audiogram was taken and recording the corresponding values of age corrections at 1000 Hz through 6000 Hz:
- B. Finding the age at which the baseline audiogram was taken and recording the corresponding values of age corrections at 1000 Hz through 6000 Hz.
- II. Subtract the values found in step (I)(B) from the value found in step (I)(A).
- III. The differences calculated in step (II) represented that portion of the change in hearing that may be due to aging.

Example: Employee is a 32-year-old male. The audiometric history for his right ear is shown in decibels below.

Employee's age	Audiometric test frequency (Hz)				
	1000	2000	3000	4000	6000
26	10 0 0 5 0 5	5 0 0 0 5 10	5 0 0 5 10 20 10	10 5 10 15 20 15 25	5 5 5 10 15 20

a. The audiogram at age 27 is considered the baseline since it shows the best hearing threshold levels. Asterisks have been used to identify the baseline and most recent audiogram. A threshold shift of 20 dB exists at 4000 Hz between the audiograms taken at ages 27 and 32.

b. (The threshold shift is computed by subtracting the hearing threshold at age 27,

which was 5, from the hearing threshold at age 32, which is 25). A retest audiogram has confirmed this shift. The contribution of aging to this change in hearing may be estimated in the following manner:

c. Go to Table F-1 and find the age correction values (in dB) for $4000~\mathrm{Hz}$ at age 27 and age 32.

	Frequency (Hz)				
	1000	2000	3000	4000	6000
Age 32	6 5	5 4	7 6	10 7	14 11
Difference	1	1	1	3	3

d. The difference represents the amount of hearing loss that may be attributed to aging in the time period between the baseline audiogram and the most recent audiogram. In this example, the difference at $4000~\mathrm{Hz}$ is

Federal Railroad Administration, DOT

3 dB. This value is subtracted from the hearing level at 4000 Hz, which in the most recent audiogram is 25, yielding 22 after adjustment. Then the hearing threshold in the baseline audiogram at 4000 Hz (5) is sub-

tracted from the adjusted annual audiogram hearing threshold at 4000 Hz (22). Thus the age-corrected threshold shift would be 17 dB (as opposed to a threshold shift of 20 dB without age correction).

TABLE F-1—AGE CORRECTION VALUES IN DECIBELS FOR MALES

Years	Audiometric test frequencies (Hz)				
	1000	2000	3000	4000	6000
20 or younger	5	3	4	5	8
21	5	3	4	5	8
22	5	3	4	5	8
23	5	3	4	6	g
24	5	3	5	6	9
25	5	3	5	7	10
26	5	4	5	7	10
27	5	4	6	7	11
28	6	4	6	8	11
29	6	4	6	8	12
30	6	4	6	9	12
31	6	4	7	.9	10
32	6	5	7	10	14
33	6	5	7	10	14
34	6	5	8	11	1:
35	7	5	8	11	1:
36	7	5	9	12	10
37	7	6	9	12	17
38	7	6	9	13	17
39	7	6	10	14	18
40	7	6	10	14	19
41	7	6	10	14	21
42	8	7	11	16	21
43	8	7	12	16	2
44	8	7	12	17	2:
45	8	7	13	18	2
46	8	8	13	19	2
47	8	8	14	19	2
48	9	8	14	20	2
19	9	9	15	21	21
50	9	9	16	22	2
51	9	9	16	23	2
52	9	10	17	24	2
53	9	10	18	25	3
54	10	10	18	26	3
55	10	11	19	27	3:
56	10	11	20	28	3-
57	10	11	21	29	3
58	10	12	22	31	30
59	11	12	22	32	3
60 or older	11	13	23	33	3

Table F–2—Age Correction Values in Decibels for Females

Years	Audiometric test frequencies (Hz)				
	1000	2000	3000	4000	6000
20 or younger	7	4	3	3	6
21	7	4	4	3	6
22	7	4	4	4	6
23	7	5	4	4	7
24	7	5	4	4	7
25	8	5	4	4	7
26	8	5	5	4	8
27	8	5	5	5	8
28	8	5	5	5	8
29	8	5	5	5	9
30	8	6	5	5	9
31	8	6	6	5	9
32	9	6	6	6	10
33	9	6	6	6	10

Pt. 227, App. G

TABLE F-2—AGE CORRECTION VALUES IN DECIBELS FOR FEMALES—Continued

Years	Audiometric test frequencies (Hz)				
	1000	2000	3000	4000	6000
34	9	6	6	6	10
35	9	6	7	7	11
36	9	7	7	7	11
37	9	7	7	7	12
38	10	7	7	7	12
39	10	7	8	8	12
	10	7	8	8	13
l1	10	8	8	8	13
	10	8	9	9	10
3	11	8	9	9	14
14	11	8	9	9	1-
5	11	8	10	10	15
16	11	9	10	10	1:
	11	9	10	11	1
8	12	9	11	11	1
	12	9	11	11	1
50	12	10	11	12	1
i1	12	10	12	12	1
52	12	10	12	13	18
53	13	10	13	13	18
54	13	11	13	14	19
55	13	11	14	14	19
56	13	11	14	15	20
57	13	11	15	15	20
8	14	12	15	16	2
59	14	12	16	16	2
60 or older	14	12	16	17	2:

APPENDIX G TO PART 227—SCHEDULE OF CIVIL PENALTIES

Section	Violation	Willful violation
Subpart A—General		
227.3 Application:		
(b)(4) Failure to meet the required conditions for foreign railroad operations	\$2,500	\$5,000
Subpart B—General Requirements		
227.103 Noise monitoring program:		
(a) Failure to develop and/or implement a noise monitoring program	7,500	10,000
(b) Failure to use sampling as required	2,500	5,000
(c) Failure to integrate sound levels and/or make noise measurements as required	2,500	5,000
(d) Failure to repeat noise monitoring where required	2,500	5,000
(e) Failure to consider work environments where hearing protectors may be omitted	2,500	5,000
(f) Failure to provide opportunity to observe monitoring	2,000	4,000
(g) Reporting of Monitoring Results:	0.500	F 000
(1) Failure to notify monitored employee	2,500	5,000
(2) Failure to post results as required	2,500	5,000
227.105 Protection of employees: (a) Failure to provide appropriate protection to exposed employee	7,500	10,000
(b) Failure to observe and document source(s) of noise exposures	2,500	5,000
(c)–(d) Failure to protect employee from impermissible continuous noise	5,000	7,500
227.107 Hearing conservation program:	5,000	7,500
(a) Failure to administer a HCP	7,500	10,000
(b) Failure to compute noise exposure as required	3,500	7,000
227.109 Audiometric testing program:	,,,,,,	.,,,,,
(a) Failure to establish and/or maintain an audiometric testing program	7,500	10,000
(b) Failure to provide audiometric test at no cost to employee	2,500	5,000
(c) Failure to have qualified person perform audiometric test	2,500	5,000
(d) [Reserved]		
(e) Failure to establish baseline audiogram as required	3,500	7,000
(f) Failure to offer and/or require periodic audiograms as required	2,500	5,000
(g) Failure to evaluate audiogram as required	2,500	5,000
(h) Failure to comply with follow-up procedures as required	2,500	5,000
(i) Failure to use required method for revising baseline audiograms	2,500	5,000
227.111 Audiometric test requirements:		
(a) Failure to conduct test as required	2,500	5,000
(b) Failure to use required equipment	2,500	5,000
(c) Failure to administer test in room that meets requirements	2,500	5,000